

Life after me

Family.

Future.

Planning.

Peace of mind for your loved ones.



**Financial
Engines®**

About you

You

First	Middle	Last	
Date of birth	Social Security number	Citizenship	Marital status
Home phone	Mobile phone	Work phone	Date of marriage
Email		Work email	
Street address			County

Spouse

First	Middle	Last (if different)	
Date of birth	Social Security number	Citizenship	
Home phone	Mobile phone	Work phone	Other phone
Email		Work email	
Street address			County

Children

First	Middle	Last (if different)	Date of birth

Legal and financial partners

Name of partner	Phone
Attorney	
Accountant/tax advisor	
Financial advisor	
Insurance agent	
Other	

Additional family information

Are you making payments pursuant to a divorce or property settlement order? Y N

Have you or your spouse signed a pre- or post-marriage contract (also called a pre-nuptial or post-nuptial agreement)? Y N

Do you or your spouse have disabilities, serious health problems, or other special needs? Y N

If yes, please describe:

Do your children or any of your intended beneficiaries have disabilities, serious health problems, or other special needs? Y N

If yes, please describe:

Do you own a business? Y N Have you ever lived in any of these states? Y N

Have you ever filed a federal or state gift tax return? Y N

CA	WA	AK	NV	AZ
NM	TX	ID	LA	WY

Do you support any charitable organizations now that you wish to make provisions for at the time of your death? Y N

If yes, please describe the organization and why you support them.	How do you hope the organization uses your donation?	How you would like to donate?
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To your knowledge, are you currently the beneficiary of anyone else's trust? Y N

If yes, please explain:

Do any of your children receive government support or benefits? Y N

If yes, please explain:

Are any of your children institutionalized? Y N

If yes, please explain:

Do you have any adopted children? Y N

First	Middle	Last (if different)	Date of birth

Do you have any beneficiaries you consider family who are not related to you by blood, marriage, or adoption (e.g., step-children or step-grandchildren)? Y N

If yes, please explain:

Are you receiving Social Security, disability or other government benefits? Y N

If yes, please explain:

Are you a veteran of a branch of the United States armed forces? Y N

If yes, please explain:

Have you ever been divorced? Y N

Name of former spouse	Date of marriage

Have you ever been widowed? Y N

Name of deceased spouse	Date of death	Estate settlement

About your beneficiaries

NOTE This is a planning resource only. You'll also need to designate these individuals in a legally binding document.

Beyond merely naming who will receive your belongings when you die, you need to think about **how** your loved ones will inherit. You have an opportunity to provide guidance and protection for them that may be difficult or impossible for them to do for themselves. For example, a lifetime trust share, if set up properly, can protect your loved one's inheritance from:

- Being split with an ex-spouse if your beneficiary gets a divorce
- Being spent down to nearly zero before becoming qualified for state aid if your beneficiary becomes disabled
- Being taken by creditors or lawsuits if your beneficiary gets sued
- Being taken by your surviving spouse's new spouse if your spouse gets re-married
- Being squandered by a beneficiary who does not make sound financial decisions or who has a substance abuse problem

If these options are important to you, consider when you would like your beneficiary to lose these protections.

- Should your loved one lose these protections, over 1/3 of their inheritance when they turn 25, over the next 1/3 when they turn 30, and over the next 1/3 when they turn 35?
- Or should the time frame for losing protection be based on the time after your death? Five years for the first 1/3, 10 years for the second 1/3, 15 years for the last 1/3?

Make sure you tell your attorney which protections you are interested in and how long you want them to last.

If all your intended beneficiaries are NOT citizens of the United States, you will need to make sure your attorney knows the citizenship status of your beneficiaries as this could have a dramatic effect on their inheritance.

Special gifts

Name of person or organization	Relationship	Citizenship
Address		Description of gift
Address		Description of gift
Address		Description of gift

Beneficiaries

Who should receive the rest of your estate after the above special gifts have been distributed?

Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		

Ultimate beneficiaries

If your chosen beneficiaries do not survive you, who should get your things when you pass away?

Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		
Name of person or organization	Relationship	Citizenship
<hr/>		
Address	Description	
<hr/>		

About your estate

Estate planning objectives

You are unique, and your motivation for estate planning is also unique. Consider the following possible estate planning objectives and determine which ones are important to you.

Preserve and maximize assets

- By minimizing taxes during your life (income taxes, capital gains taxes, estate taxes on inheritances you expect to receive)
- By minimizing or eliminating estate taxes upon your death (up to 40% of your assets and life insurance benefits)
- By minimizing or eliminating capital gains taxes your beneficiaries may have to pay on their inheritance
- By reducing estate administration costs through probate avoidance
- Avoid or limit medical claims on your assets should you require long-term care
- Ensure that a special-needs beneficiary has assets that are protected from government seizure while retaining eligibility for needed services
- By ensuring that your assets are passed to your descendants and not given away to outsiders, such as spouses, creditors or the government

Protect yourself and your spouse

- From professional liability (doctors, lawyers, accountants, etc.) claims or other creditor claims
- From guardianship or conservatorship proceedings (aka “living probate”) if you or your spouse become incapacitated
- From probate delays, publicity and stress upon your death or the death of your spouse
- From hospital policies requiring life-sustaining procedures when you would rather not endure them
- From healthcare decisions made by people other than those you trust most

Protect your children or other beneficiaries

- From creditors or predators who can discover inheritance amounts in probate and target your beneficiaries
- From claims of divorced spouses to take half of your beneficiary’s inheritance
- From professional malpractice claims for professional (doctors, lawyers, accountants, etc.) beneficiaries
- From other tort creditors’ claims (such as car accident plaintiffs)
- From the stress and delays of the average 16-month process of probate
- From financial immaturity resulting in the squandering of an inheritance
- From sharing assets with heirs you would rather disinherit
- From litigation claims by disinherited heirs
- From acquaintances and relatives who should not be allowed to be alone with your children.
- *For special needs beneficiary only:* From neglect in the government care system
- *For parents only:* From relatives who would be poor, abusive or even dangerous guardians or from foster care

Leave a lasting legacy

- Benefit a charitable organization or activity
- Support a common family goal through coordinated planning
- Leave behind specific intellectual, spiritual, and human assets in addition to your financial assets
- *For parents only:* By specifying the values, insights, stories and experiences you want passed on to your children and how you want the money you leave behind used for your children
- *For special needs beneficiaries only:* By providing instructions, specifying people and providing assets to support your special needs beneficiaries comfortably without sacrificing potential state benefits
- *For business owners only:* By providing for the orderly continuation and transfer of family business interests rather than a distress sale

If all of your goals for your estate planning were accomplished, what would that look like? What is the best result you could hope for?

What's the worst thing that could happen if your goals fail?

Estate planning roles

There are a number of roles that other people will play in your estate planning should you become disabled or pass away. We have explained below the terminology used to describe these various roles. Please keep in mind that a person may serve in multiple roles — you do not need different people to act in each capacity. However, we recommend that you consider the strengths of the people you feel most comfortable with. One person may be good in all roles; on the other hand, frequently we find one person is good with medical decisions but is not necessarily the best person to manage financial affairs. For example, one person would be excellent for raising your children but, for peace of mind, you prefer that another person handle the children's money while they are minors.

These are very important decisions because the people that you choose will be asked to carry out your wishes when you can no longer do so. Do not feel that you must give everyone a job to do for you! Just because you have three children does not mean that they all need to have jobs to do.

When selecting persons to perform these tasks for you consider the following:

- the emotions of the person at the time
- their objectivity in carrying out your wishes
- that you are asking them to take on often significant responsibilities
- that these tasks are often time-consuming
- and that following your wishes may require differences of opinion with family members, etc.

As you consider who should participate in each of these roles, bear in mind that your first choice may not be able to serve when the time arises. Therefore, you should nominate at least two additional alternates for each role to serve in the event the previously nominated persons cannot serve. Keep in mind if your intended helpers (trustees, agents, etc.) are not citizens or residents of the United States, this could result in severe limitations or disqualification of your helper from their intended role.

Disability Panel

If you become mentally disabled, someone needs to be able to make the decision that you are, in fact, no longer capable of handling your own affairs. If you don't set up a disability determination process ahead of time, it is possible that a court will ultimately get involved to make that determination (living probate). The purpose of the disability panel approach is to determine objectively whether you have become incapable of managing your property and financial affairs. **No** financial- and **no** medical-decision-making authority is delegated to the disability panel.

Most clients choose some combination of medical opinion and family approval, although you may generally choose only family members or only medical personnel, at your option. The panel should consist of multiple people (at least three) serving at the same time. Generally, we find the medical input assures better cooperation from financial institutions, while requiring family approval assures that people who see you on a day-to-day basis are involved, and can control the timing of your removal as Trustee if your mental condition warrants removal. Some states (like Missouri) require that a medical provider be involved when determining your ability to make your own medical decisions.

Name of person or organization	Relationship	Citizenship
Address	Phone	Fax (if medical provider)
Other information		
Name of person or organization	Relationship	Citizenship
Address	Phone	Fax (if medical provider)
Other information		
Name of person or organization	Relationship	Citizenship
Address	Phone	Fax (if medical provider)
Other information		
Name of person or organization	Relationship	Citizenship
Address	Phone	Fax (if medical provider)
Other information		

Disability trustees

Your disability trustees are similar to an agent under a power of attorney. The disability trustees will replace you as trustee of your trust if a determination is made that you are no longer mentally able to handle your own affairs. The disability trustees will assume complete financial control of your trust assets. However, they must follow the instructions and priorities that you have provided in your trust (which is one reason it is essential that you spend some time to write down how you want to be taken care of in the event of mental disability. The trustees must follow your instructions, if you have left instructions).

No medical decision-making authority is delegated to the persons serving in this role. There is no residency requirement for naming a Trustee. To protect you from abuse, we often recommend that you name Co-trustees, but the Co-trustees need not necessarily be equal — you have great flexibility in personally determining how the trustees will work together.

Primary trustee	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate trustee 1	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate trustee 2	Relationship	Citizenship
Address	Phone	
	Other information	

Agents

Agents are appointed under Powers of Attorney. The Power of Attorney describes the powers you delegate to your agent, which could be medical, financial, or something else. A Power of Attorney may be “durable” — meaning the agent’s power continues even if the person giving the power of attorney later becomes mentally incompetent, and it is highly recommended that your powers have a “durable” provision.

Powers of Attorney operate under the general field of law called Agency Law, and may have statutory authority or restrictions. While technical, this is important to note because Agents do not have the same authority or obligations that a Trustee does. For example, an Agent’s authority generally expires on the death of the Principal (you), but a Trustee’s authority can survive your death. Additionally, in many states, financial Agents lack the authority to enforce their decisions, but Trustees do not have that problem (assuming the trust instrument grants the Trustee the proper authority).

General Agent serving under a General Financial Power of Attorney: complete financial control is delegated to this agent, usually immediately upon signing the power. In most

cases, this is not a wise choice due to the potential for abuse of the power and confusion it may cause while you are competent.

General Agent serving under a Springing Financial Power of Attorney: complete financial control is delegated to the named Agent after you are determined to be mentally unable to make your own financial decisions. Even if you have a trust, this is a useful tool to handle some things the Trustee could not reasonably handle under the Trust’s authority. Such situations include management of qualified retirement assets, gifting assets and dealing with the IRS, the court system or other governmental agencies. It is usually preferable to have the same person serving in this role as you named for your Disability Trustees.

Primary general agent	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate general agent 1	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate general agent 2	Relationship	Citizenship
Address	Phone	
	Other information	

Funding Agent serving under a Special Limited Power of Attorney: agent who has authority only to transfer assets that you own into your trust. This is useful to give others authority to help with the implementation of your trust-based plan and permits the named Agent to continue this process even if you become disabled. Because the need to transfer assets may well arise in an emergency situation and the power delegated is not subject to abuse, this power of attorney is effective upon signing. Your Pour-Over Will would serve the same purpose after you are deceased.

Primary funding agent	Relationship	Citizenship
Address	Phone	
	Role (general, funding, or medical)	
Alternate funding agent 1	Relationship	Citizenship
Address	Phone	
	Role (general, funding, or medical)	
Alternate funding agent 2	Relationship	Citizenship
Address	Phone	
	Role (general, funding, or medical)	

Guardian for yourself: agent appointed to care for you personally if the court finds, after a hearing, that you are unable to physically care for yourself or make informed decisions about your own health care. Guardians are appointed to care for the person. A good estate plan will be designed to avoid the need for a court-appointed Guardian, but in the event of unforeseen circumstances, it is prudent to have the persons of your choice nominated as Guardian for yourself. This is often done in a Healthcare Power of Attorney.

Primary guardian	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate guardian 1	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate guardian 2	Relationship	Citizenship
Address	Phone	
	Other information	

Conservator: agent appointed by the Probate Court to “stand in your shoes” if the court finds, after a hearing, that you are unable to make rational financial decisions for yourself. Conservators are appointed to care for assets. A good estate plan will be designed to avoid the need for a court-appointed Conservator, but in the event of unforeseen circumstances, it is prudent to have the persons of your choice nominated as Conservator for yourself. This is often done in a Financial Power of Attorney.

Primary conservator	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate conservator 1	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate conservator 2	Relationship	Citizenship
Address	Phone	
	Other information	

Settlement Trustees

Your settlement trustees will assume complete control over all of your trust assets upon your death, and administer the assets according to the directions you provide in your trust. Trustees have the highest duty under the law (called a fiduciary duty) to follow your directions for the benefit of your named beneficiaries, so it is

important to be thorough and not leave any ambiguities about your wishes. Frequently the Settlement Trustees are the same people that were chosen to be Disability Trustees, but they may be different if you choose.

Primary settlement trustee	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate settlement trustee 1	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate settlement trustee 2	Relationship	Citizenship
Address	Phone	
	Other information	

Personal Representative (Executor or PR)

If your goal is to avoid probate and your Trust is fully funded (all assets owned by your trust) and well maintained at your death, there should not be any reason to need your Will at all, unless you have minor children. However, in the event that there are assets outside of your Trust on the day you pass away, those assets will be controlled by your Will in Probate Court. The PR is the person responsible to follow the instructions set out in your Will, which may be merely to transfer assets from your probate estate into your trust.

As you know, only assets left in your individual name will require use of the probate process. For consistency and ease of administration, we strongly recommend that you consider naming the same person(s) as PR that you named as Settlement Trustees. Naming the same persons for both roles assures coordinated tax planning, catastrophic-illness planning and a host of other benefits, including added protection from legal challenge of your Will.

Primary personal representative	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate personal representative 1	Relationship	Citizenship
Address	Phone	
	Other information	
Alternate personal representative 2	Relationship	Citizenship
Address	Phone	
	Other information	

About your assets

Cash accounts

Checking, Savings, CD, Money market, Cash management, Safe deposit box, UGMA account, UTMA account

Account type	Entity type	Ownership type
Account number	Estimated value	
Account type	Entity type	Ownership type
Account number	Estimated value	
Account type	Entity type	Ownership type
Account number	Estimated value	
Account type	Entity type	Ownership type
Account number	Estimated value	
Account type	Entity type	Ownership type
Account number	Estimated value	
Account type	Company/custodian	Owner
Account number	Estimated value	Spouse/beneficiary preference
Account type	Company/custodian	Owner
Account number	Estimated value	Spouse/beneficiary preference
Account type	Company/custodian	Owner
Account number	Estimated value	Spouse/beneficiary preference
Account type	Company/custodian	Owner
Account number	Estimated value	Spouse/beneficiary preference

Retirement plans

401(k), 403(b), H.R. 10, Excess Benefit Plan, Health Savings Account, Individual Retirement Account (IRA), Roth IRA, IRA–Annuity, NQ Deferred Comp Agreement, Profit Sharing, Pure Deferred Comp Agreement, Salary Continuation Agreement, Salary Reduction Agreement, Simplified Employee Pension, Supplemental Executive Retirement Plan (SERP), Top Hat Plan, ESOP

Pension plans

Company	Death benefit?	Y N
Owner	Estimated value	
Company	Death benefit?	Y N
Owner	Estimated value	
Company	Death benefit?	Y N
Owner	Estimated value	

Real property

Address	Legal description	Ownership type
Permanent parcel number		
County	Is this property under a lien?	Y N
Property & casualty (P&C) insurance company	Lien holder	Lien type
P&C agent	Timeshare/vacation club?	Y N
P&C policy number	Notes	
Title insurance company		
Title insurance policy number	Is it leased?	Y N
Last deed	Deed basis	Notes
Loan amount	Present value	
Address	Legal description	Ownership type
Permanent parcel number		
County	Is this property under a lien?	Y N
Property & casualty (P&C) insurance company	Lien holder	Lien type
P&C agent	Timeshare/vacation club?	Y N
P&C policy number	Notes	
Title insurance company		
Title insurance policy number	Is it leased?	Y N
Last deed	Deed basis	Notes
Loan amount	Present value	

Personal effects

Household goods, motor vehicles, ATV, motorcycle, plane, trailer, motor home, snowmobile, boat, mobile home, manufactured home, collections (stamps, coins, etc.)

These items only need to be listed if they meet the following criteria:

1. The item is titled property (car, boat, plane, etc.) OR
2. It is of significant value (if it is separately insured, then it is of significant value) OR
3. You have a specific beneficiary in mind for the asset (e.g., NOT “my children” BUT “Susie, one of my three children, gets my pearl necklace”)

Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	
Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	
Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	
Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	
Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	
Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	
Type	ID number	Ownership type
Description	Beneficiary	
	Estimated value	

Ownership types

Fill “Ownership type” field with one of the following abbreviations.

Sole Ownership (Sole)

Single owner may be an individual, trust, or business entity. Name one.

Joint Tenants with Rights of Survivorship (JTWRoS)

Multiple owners and survivors automatically take ownership after death of one. Name each tenant.

Insurance policies

Term Life, Whole Life, Group Life, Variable, Universal, Employer, Accident, Disability, Second-to-Die, Long-Term Care (LTC), LTC with death benefits, Life with LTC benefits

Type	Insured? Y N	Ownership type
Company	Beneficiaries	
Policy number	Cash surrender value	
Death benefit	Pledged as collateral? Y N	
Type	Insured? Y N	Ownership type
Company	Beneficiaries	
Policy number	Cash surrender value	
Death benefit	Pledged as collateral? Y N	
Type	Insured? Y N	Ownership type
Company	Beneficiaries	
Policy number	Cash surrender value	
Death benefit	Pledged as collateral? Y N	
Type	Insured? Y N	Ownership type
Company	Beneficiaries	
Policy number	Cash surrender value	
Death benefit	Pledged as collateral? Y N	
Account type	Ownership type	
Account number	Estimated value	
Account type	Ownership type	
Account number	Estimated value	
Account type	Ownership type	
Account number	Estimated value	
Account type	Ownership type	
Account number	Estimated value	
Account type	Ownership type	
Account number	Estimated value	

Investment and brokerage accounts

Money market, Investment Cash management, Mutual fund, UGMA account, UTMA account, 529 plan

Tenants in Common (TC)

Multiple owners, each with specific share of ownership, do not automatically take ownership after the death of one. Name each one, along with their share of ownership.

Tenancy by the Entirety (TBE)

Super JTWRoS — only available to spouses and only in some jurisdictions

Stock and stock options

Publicly traded, Incentive stock options, NQ stock options

Account type	Ownership type
Account number	Estimated value
Account type	Ownership type
Account number	Estimated value
Account type	Ownership type
Account number	Estimated value
Account type	Ownership type
Account number	Estimated value
Account type	Ownership type
Account number	Estimated value
Account type	Ownership type
Account number	Estimated value

Bonds, T-bills, and T-notes

E, EE, H, HH, I, T-bill, T-note, Education, Municipal, Private

Account type	Serial number	Ownership type
Issue date	Face value	Market value
Account type	Serial number	Ownership type
Issue date	Face value	Market value
Account type	Serial number	Ownership type
Issue date	Face value	Market value
Account type	Serial number	Ownership type
Issue date	Face value	Market value
Account type	Serial number	Ownership type
Issue date	Face value	Market value
Account type	Serial number	Ownership type
Issue date	Face value	Market value
Account type	Serial number	Ownership type
Issue date	Face value	Market value

Ownership types

Fill "Ownership type" field with one of the following abbreviations.

Sole Ownership (Sole)

Single owner may be an individual, trust, or business entity. Name one.

Joint Tenants with Rights of Survivorship (JTWROS)

Multiple owners and survivors automatically take ownership after death of one. Name each tenant.

Annuity

Single, Joint

Company	Private? Y N	Ownership type
Type	Spouse/beneficiary preference	
Contract/account number	Long-term care benefits?	Y N
Value	Death/survivor benefits?	Y N
Company	Private? Y N	Ownership type
Type	Spouse/beneficiary preference	
Contract/account number	Long-term care benefits?	Y N
Value	Death/survivor benefits?	Y N
Company	Private? Y N	Ownership type
Type	Spouse/beneficiary preference	
Contract/account number	Long-term care benefits?	Y N
Value	Death/survivor benefits?	Y N

Monies owed to you

Name of debtor	Ownership type
Address of debtor	Date of origination Interest rate
	Value
Name of debtor	Ownership type
Address of debtor	Date of origination Interest rate
	Value
Name of debtor	Ownership type
Address of debtor	Date of origination Interest rate
	Value
Name of debtor	Ownership type
Address of debtor	Date of origination Interest rate
	Value

Tenants in Common (TC)

Multiple owners, each with specific share of ownership, do not automatically take ownership after the death of one. Name each one, along with their share of ownership.

Tenancy by the Entirety (TBE)

Super JTWROS — only available to spouses and only in some jurisdictions

**Partnerships,
LLCs, PAs**
General, Limited Family,
LLC, PA

Name of entity	Tax ID number	Ownership type
Entity type	Transfer restrictions? Y N	% of ownership
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Name of entity	Tax ID number	Ownership type
Entity type	Transfer restrictions? Y N	% of ownership
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Name of entity	Tax ID number	Ownership type
Entity type	Transfer restrictions? Y N	% of ownership
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Name of entity	Tax ID number	Ownership type
Entity type	Transfer restrictions? Y N	% of ownership
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Name of entity	Tax ID number	Ownership type
Entity type	Transfer restrictions? Y N	% of ownership
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Name of entity	Tax ID number	Ownership type
Entity type	Transfer restrictions? Y N	% of ownership
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Ownership types
Fill "Ownership type" field with one of the following abbreviations.

Sole Ownership (Sole)

Single owner may be an individual, trust, or business entity. Name one.

Joint Tenants with Rights of Survivorship (JTWRoS)

Multiple owners and survivors automatically take ownership after death of one. Name each tenant.

Corporate interests

Transfer agent name	Certificate number	Ownership type
Address	Phone	% of ownership
	Transfer restrictions? Y N	Number of shares
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Transfer agent name	Certificate number	Ownership type
Address	Phone	% of ownership
	Transfer restrictions? Y N	Number of shares
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Transfer agent name	Certificate number	Ownership type
Address	Phone	% of ownership
	Transfer restrictions? Y N	Number of shares
Professional entity (medical, legal, accounting)	Value	Date of creation

Notes

Sole proprietorships

Name	Professional? Y N
Owner	Value

Notes

Name	Professional? Y N
Owner	Value

Notes

Name	Professional? Y N
Owner	Value

Notes

Tenants in Common (TC)

Multiple owners, each with specific share of ownership, do not automatically take ownership after the death of one. Name each one, along with their share of ownership.

Tenancy by the Entirety (TBE)

Super JTWRoS — only available to spouses and only in some jurisdictions

Oil, gas, and mineral interests

Lease, Overriding royalty, Fee mineral estate, Working interest, Pooling agreement

Company name	Type	Ownership type
Address	Phone number	
	Value	
County of location	State of location	Fractional ownership
Company name	Type	Ownership type
Address	Phone number	
	Value	
County of location	State of location	Fractional ownership
Company name	Type	Ownership type
Address	Phone number	
	Value	
County of location	State of location	Fractional ownership
Company name	Type	Ownership type
Address	Phone number	
	Value	
County of location	State of location	Fractional ownership
Company name	Type	Ownership type
Address	Phone number	
	Value	
County of location	State of location	Fractional ownership
Company name	Type	Ownership type
Address	Phone number	
	Value	
County of location	State of location	Fractional ownership

Anticipated assets

Current owner	Ownership type
Description	Anticipated owner
	Estimated value
Current owner	Ownership type
Description	Anticipated owner
	Estimated value
Current owner	Ownership type
Description	Anticipated owner
	Estimated value
Current owner	Ownership type
Description	Anticipated owner
	Estimated value
Current owner	Ownership type
Description	Anticipated owner
	Estimated value
Current owner	Ownership type
Description	Anticipated owner
	Estimated value
Type	Ownership type
Description	Estimated value
Type	Ownership type
Description	Estimated value
Type	Ownership type
Description	Estimated value
Type	Ownership type
Description	Estimated value
Type	Ownership type
Description	Estimated value

Other

Aircraft, copyrights, trademarks, patents, trade secrets, lottery winnings, royalty agreements, structured settlements, trust, interest, racehorse, family foundation, donor-advised fund, livestock, wind rights, other

Ownership types

Fill "Ownership type" field with one of the following abbreviations.

Sole Ownership (Sole)

Single owner may be an individual, trust, or business entity. Name one.

Joint Tenants with Rights of Survivorship (JTWRoS)

Multiple owners and survivors automatically take ownership after death of one. Name each tenant.

Tenants in Common (TC)

Multiple owners, each with specific share of ownership, do not automatically take ownership after the death of one. Name each one, along with their share of ownership.

Tenancy by the Entirety (TBE)

Super JTWRoS — only available to spouses and only in some jurisdictions

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